Specification Updates

This document contains corrections and policy changes or clarifications for the *HEDIS® MY 2021 Volume 5: HEDIS Compliance Audit™: Standards, Policies and Procedures* and the *Align. Measure. Perform Programs Audit Review Guidelines for MY 2021.*

HEDIS MY 2021 Volume 5: HEDIS Compliance Audit™: Standards, Policies and Procedures

Page	Section	Heading/Subtitle	Issue
58	Audit Process: Audit Validation Activities	Medical Record Review Validation - Extrapolating to other measures	Replace this paragraph with: The results of the final statistical validation can be extrapolated to similar measures, regardless of the MRRV groups, when similarities exist based on logical groups, required data elements, MRR staff and types of abstraction errors. When significant problems are found during the validation, the auditor determines if similar measures should be reviewed. Expanded validation measures undergo the same scrutiny and statistical evaluation as the original measures.
61	Audit Process: Audit Validation Activities	Supplemental Data Validation and ECDS Auditing - …for nonstandard supplemental data	Add the red text: • That, when applicable, the POS document contains all the elements required for the measure. EHR extracts that are not specific to a measure, and rely on integration with other data sources and measure logic, might not contain all elements for a measure. • That the POS document contains appropriate and correct data elements (e.g., dates, procedure, diagnosis, provider information, member information). When reviewing EHR extracts, it is possible these conditions are not always met if the file relies on other data sources (e.g., claims) and measure logic.
62	Audit Process: Audit Validation Activities	Supplemental Data Validation and ECDS Auditing - CCDs	Update the red text: For validating data from a CCD, the auditor must receive a completed current year's Supplemental Data Roadmap section, which describes how the CCD is created and by whom (e.g., produced by the provider in the office and sent to the plan or created by the vendor), the validation process and how data are transmitted.
63	Audit Process: Audit Validation Activities	Planning the Audit Review - The audit team	Delete the red text: The auditor forms the audit team after an initial Roadmap review and core-set selection. At least one Certified HEDIS Compliance Auditor must complete the audit with the plan. The auditor may base team structure and visit length on the unique characteristics of the entity being audited.

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6-6	Appendix 6 – Glossary	Logical group	Delete the red text: A category that contains measures with similar characteristics, such as dependence on carved-out benefits, practitioner specialty, contraindications and diagnosis code specificity. Logical groups should be used for measure selection (core set, convenience sample, medical record review validation) and expansion.
7-16	Appendix 7 – Survey Sample Frame Validation	QHP Enrollee Experience Survey Sample Frame Validation	Add the QHP Survey Sample Frame Validation excerpt.

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38	AMP Audit Review for POs: Audit validation Activities	Supplemental Data validation - …for nonstandard and member-reported supplemental data	Add the red text: • That, when applicable, the POS document contains all the elements required for the measure. EHR extracts that are not specific to a measure, and rely on integration with other data sources and measure logic, might not contain all elements for a measure. • That the POS document contains appropriate and correct data elements (e.g., dates, procedure, diagnosis, provider information, member information). When reviewing EHR extracts, it is possible these conditions are not always met if the file relies on other data sources (e.g., claims) and measure logic.