Specification Updates

This document contains corrections and policy changes or clarifications for the *HEDIS® MY 2020 Volume 5: HEDIS Compliance Audit™: Standards, Policies and Procedures* and the *Align. Measure. Perform Programs Audit Review Guidelines for MY 2020.*

HEDIS MY 2020 Volume 5: HEDIS Compliance Audit™: Standards, Policies and Procedures

Page	Section	Heading/Subtitle	Issue
64	Audit Process: Audit Validation Activities	CCDs	Add the red text: For validating data from a CCD, the auditor must receive a completed current year's Supplemental Data Roadmap section that describes how the CDD is created and by whom (e.g., produced by the provider in the office and sent to the plan or created by the vendor), the validation process and how data are transmitted. PSV is required (e.g., go back to each unique EHR) to validate CCD accuracy. This level of validation is required for at least the first year, or the first submission by the EHR, but may continue in subsequent years until the auditor is satisfied that data are accurate, reliable and have not changed. If the auditor has performed PSV in previous year(s) and is confident approving the data source as standard supplemental data, the auditor must document the decision in the audit work papers. Unlike other nonstandard supplemental data sources, once validated and approved by the auditors, CCDs can be refreshed, similar to standard supplemental data.
82	Audit Process: Follow-Up and Reporting	Management Representation Letter	Change the red text: • Organization has no knowledge of noncompliance with requirements of regulatory authorities (e.g., CMS) that could have a material effect on the data in the event of noncompliance.
7-16	Appendix 7 – Survey Sample Frame Validation	QHP Enrollee Experience Survey Sample Frame Validation	Add the QHP Survey Sample Frame Validation excerpt.

Align. Measure. Perform Programs Audit Review Guidelines for MY 2020

Page	Section	Heading/Subtitle	Issue
37	Audit Validation Activities	Activity or Milestone	Change the red text: **Submission Files to Auditors:** Self-reporting POs and health plans send submission files to auditors. — April 30, 2021
60	AMP Audit Review for POs: Follow-Up and Reporting	Management Representation Letter	Change the red text: • Organization has no knowledge of noncompliance with requirements of regulatory authorities (e.g., CMS) that could have a material effect on the data in the event of noncompliance.